

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----x
In re : Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al., : 08-13555 (JMP)
Debtors. : (Jointly Administered)
-----x Ref. Docket Nos. 30365, 30373

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 28, 2012, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this

31st day of August, 2012

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: CAPITAL PARTNERS SECURITIES CO., LTD
TRANSFEROR: LEHMAN BROTHERS JAPAN INC
ATTN: FUMIO KOJIMA
ASAHI BUILDING, 6TH FLOOR 3-12-2
NIHONBASHI, CHUO-KU
TOKYO 103-0027 JAPAN

Additional:

Transferee: NOMURA SECURITIES CO., LTD.
FINANCIAL PRODUCT DEPARTMENT
2-2-2, OTEMACHI, CHIYODA-KU
TOKYO JAPAN

Your transfer of claim # 62783-82 is defective for the reason(s) checked below:

Other Transfer Amount exceeds dollars currently owned.

Docket Number 30365

Date 08/24/12

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 28, 2012.

EXHIBIT B

TIME: 19:22:02
DATE: 08/28/12

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE:
1

Name
CAPITAL PARTNERS SECURITIES CO., LTD
NOMURA SECURITIES CO., LTD.

Address
TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: FUMIO KOJIMA ASAHI BUILDING, 6TH FLOOR 3-12-2 NIHOMBASHI, CHUO-KU TOKYO 103-0027 JAPAN
FINANCIAL PRODUCT DEPARTMENT 2-2-2, OTEMACHI, CHIYODA-KU TOKYO JAPAN

Total Number of Records Printed 2